

From: [Paul Barrett](#)
To: Rafael.Otoya@tdameritrade.com
Cc: [Richard Kahn](#)
Subject: RE: The forms you recently requested
Date: Wednesday, September 20, 2017 4:32:04 PM
Attachments: [TD Trading Authorization for PB.PDF](#)

Rafael

Please see attached trading authorization form.

Did you get any answers?

Paul

Paul Barrett
Alpha Group Capital LLC
142 W 57th Street, 11th Floor, New York, NY 10019
646-876-5277 (o) 646-342-6930 (c)
[REDACTED]

-----Original Message-----

From: Rafael.Otoya@tdameritrade.com [<mailto:Rafael.Otoya@tdameritrade.com>]
Sent: Tuesday, September 19, 2017 11:10 AM
To: Paul Barrett <[REDACTED]>
Subject: FW: The forms you recently requested

-----Original Message-----

From: Ameritrade New Accounts
Sent: Tuesday, September 19, 2017 10:02 AM
To: Otoya, Rafael C
Subject: The forms you recently requested

Thank you for your interest in TD Ameritrade.

The forms you requested have been attached in PDF format to this e-mail. Adobe Acrobat is required to open a PDF (https://urldefense.proofpoint.com/v2/url?u=http-3A__www.adobe.com_support_downloads_main.html&d=DwIFAg&c=nulvIAQnC0yOOjC0e0NVa8TOcyq9jNhjZ156R-JJU10&r=mzTWnkatWnk1SSF7PR1rDinMhQdO7aMnrWqNs3ph7o&m=7FIUQjd6tfcdvZe4GyGkbcxdbEQP7-rV2oG9C-n9AcE&s=AshHjgFx7qq-GarqNcfvws3JevYeuvUa4XdbFB51Jek&e=).

To get additional forms, please visit the Additional Forms page on the TD Ameritrade Web site at <http://www.tdameritrade.com/forms.html>.

Please print, complete, and return it to TD Ameritrade by mail or fax.

Standard Delivery:
TD Ameritrade
PO Box 2760
Omaha, NE 68103-2760

CONFIDENTIAL

SDNY_GM_00012299

EFTA_00122949

EFTA01268375

Overnight Delivery:
TD Ameritrade
200 S. 108th Ave.
Omaha, NE 68154

Fax:
866-468-6268

If you have any questions, please contact a New Accounts representative at 800-276-8746. We're here to help 24 hours a day, seven days a week (excluding market holidays).

Again, thank you for your interest in TD Ameritrade. We look forward to serving you for years to come.

Sincerely,

Carrie Braxdale
Managing Director, Investor Services

TD Ameritrade TD Ameritrade understands the importance of protecting your privacy. We are sending you this notification to inform you of important information regarding your account. If you've elected to opt out of receiving marketing communications from us, we will honor your request.

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ATI 3728 EM 06/16

Attachments:

Attachments:

Trading Authorization Agreement.pdf

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